

**GRAY PRIVATE WEALTH, LLC  
150 ROYALL STREET, SUITE 102  
CANTON, MA 02021**

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**[www.grayprivatewealth.com](http://www.grayprivatewealth.com)**

This brochure provides information about Daniel Romano, James Branch, and Justin Klunder that supplements the Gray Private Wealth, LLC (“**GPW**”) brochure. You should have received a copy of that brochure. Please contact us at: (781) 232-2020 or by email at: [dromano@grayprivatewealth.com](mailto:dromano@grayprivatewealth.com) if you did not receive Gray Private Wealth, LLC’s brochure or if you have any questions about the contents of this supplement. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission, or by any state securities authority.

Additional information about Daniel Romano, James Branch, and Justin Klunder is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **ITEM 2: EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

### **DANIEL CHARLES ROMANO, CPA, PFS**

Born 1960

#### Educational Background:

- B.S., Accounting, Northeastern University, Boston, MA, 1983

#### Business Experience:

- Chief Executive Officer and Chief Compliance Officer, Gray Private Wealth, LLC, Canton, MA, 04/1999 to Present
- Partner, Gray, Gray & Gray, LLP, Canton, MA, 07/1983 to Present
- Investment Advisor Representative, 1st Global Advisors, Inc., Canton, MA, 05/2011 to 01/2018
- Registered Representative, 1st Global Capital Corp., Canton, MA, 04/1997 to 01/2018
- Agent, 1st Global Insurance Services, Inc., Canton, MA, 04/2005 to 01/2018

Mr. Romano's contact information:

(781) 232-2020

dromano@grayprivatewealth.com

### **JAMES ELLIOTT BRANCH, CFP, ChFC, CLU**

Born 1954

#### Educational Background:

- Attended Indiana University School of Law, Bloomington, IN, 1976-1977
- B.A., History, Indiana University, Bloomington, IN, 1976

#### Business Experience:

- Director of Financial Services, Gray Private Wealth, LLC, Canton, MA, 03/2008 to Present
- Registered Representative, 1st Global Capital Corp., Canton, MA, 06/2004 to 01/2018

- Investment Adviser Representative, 1st Global Advisors, Inc., Canton, MA, 06/2004 to 01/2018
- Agent, 1st Global Insurance Services, Inc., Canton, MA, 07/2004 to 01/2018

Mr. Branch's contact information:  
 (781) 232-2020  
 jbranch@grayprivatewealth.com

**JUSTIN JAMES KLUNDER, CFA**  
 Born 1984

Educational Background:

- B.S., Economics and Finance, College of Charleston, Charleston, SC, 2007

Business Experience:

- Chief Investment Officer, Gray Private Wealth, LLC, Canton, MA, 01/2021 to present
- Senior Portfolio Manager, Gray Private Wealth, LLC, Canton, MA, 09/2015 to 12/2020
- Registered Representative, 1<sup>st</sup> Global Capital Corp., Canton, MA, 01/2016 to 01/2018
- Investment Advisor Representative, 1<sup>st</sup> Global Advisors, Inc., Canton, MA, 01/2016 to 01/2018
- Associate, 1<sup>st</sup> Global Capital Corp., Canton, MA, 09/2015 to 01/2016
- Investment Officer, Veritable, LP., Newtown Square, PA, 08/2007 to 09/2015

Mr. Klunder's contact information:  
 (781) 232-2020  
 jklunder@grayprivatewealth.com

**ITEM 3: DISCIPLINARY INFORMATION**

**Not applicable.**

**ITEM 4: OTHER BUSINESS ACTIVITIES**

Daniel C. Romano of GPW is also a licensed, practicing Certified Public Accountants with the firm of Gray, Gray & Gray LLP ("GG&G").

Daniel C. Romano, CEO, CCO of GPW, is principally responsible for providing advisory services on behalf of GPW and his time is dedicated primarily to servicing Clients of the Firm. Mr. Branch's and Mr. Klunder's time is also dedicated primarily to servicing Clients of the Firm. The majority of the other members of GPW spend the majority of their time to

providing accounting services on behalf of GGG.

GG&G may recommend GPW to accounting Clients in need of advisory services. GPW may recommend GG&G to advisory Clients in need of accounting and tax services. Accounting and tax services provided by GG&G are separate and distinct from advisory services of GPW and are separately compensated. No GPW Client is obligated to use GG&G for any accounting services, and, conversely, no accounting Client is obligated to use the advisory services provided by GPW. Information concerning the Client will not be shared except in accordance with all applicable laws and regulations or as authorized by the Client.

#### **ITEM 5: ADDITIONAL COMPENSATION**

No additional compensation at this time.

#### **ITEM 6: SUPERVISION**

Mr. Romano is the Chief Executive Officer and Chief Compliance Officer associated with the Firm. As such, Mr. Romano is the senior officer responsible for all supervisory activities of the Firm.

Mr. Branch is supervised by Daniel C. Romano. Mr. Romano reviews Mr. Branch's work through frequent office interactions as well as remote interactions. Mr. Romano also reviews Mr. Branch's activities through our Client relationship management system.

Mr. Klunder is the Chief Investment Officer associated with the firm. Mr. Klunder is supervised by Daniel Romano. Mr. Romano reviews Mr. Klunder's work through frequent office interactions as well as remote interactions. Mr. Romano also reviews Mr. Klunder's activities through our Client relationship management system.

#### **ITEM 7: REQUIREMENTS FOR STATE-REGISTERED ADVISERS.**

Not applicable.